



1349 U.S. PTO

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. HTC-003

First Inventor Ducksoo Kim

Title METHOD FOR SURGICALLY JOINING.....

Express Mail Label No.

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 96]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 31 ]
5. Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: Accounting Sheet

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 10/748,036, filed 12-29-03

Prior application information:

Examiner Gloria Hale

Group / Art Unit: 3765

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor  Correspondence address below

Name	David Prashker			
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Name (Print/Type)	David Prashker	Registration No. (Attorney/Agent)	29,693
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## ACCOUNTING SHEET

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

HTC-003  
Case Docket No.

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Ducksoo Kim

For: "METHOD FOR SURGICALLY JOINING A VENTRICULAR ASSIST DEVICE TO THE CARDIOVASCULAR SYSTEM OF A LIVING SUBJECT USING A PIERCING INTRODUCER ASSEMBLY"

XXX Enclosed are:

XX 96 pages of Specification.  
XX 8 pages of claims.  
XX 31 sheets of drawing.  
XX An original combined Declaration And Power Of Attorney.  
XX A written assertion of entitlement to Small Entity status pursuant to 37 C.F.R. 1.27.  
— An Assignment of the invention to \_\_\_\_\_  
— A Preliminary Amendment \_\_\_\_\_

XXX The filing fee has been calculated as shown below [FY2004]:

		Recording each Assignment.....		\$ 40 //	\$ 40
		(Col. 1)	(Col. 2)	SMALL ENTITY //	LARGE ENTITY
FOR:	No. Filed / No. Extra			/ Rate - Fee	(or) Rate - Fee
<b>BASIC FEE:</b>	*****	*****	****	\$ 385	(or) **** \$ 770
<b>TOTAL CLAIMS:</b>	30 - 20 =	10	x \$ 9 =	\$ 90	(or) x \$18 = \$ ****
<b>INDEP CLAIMS:</b>	2 - 3 =	0	x \$43 =	\$ 00	(or) x \$86 = \$ ****
<b>XXX MULTIPLE DEPENDENT CLAIM PRESENTED</b>			+ \$145 =	\$ 145	(or) + \$290 = \$ ****
			<b>TOTAL</b>	=	\$ 620

XXX A check in the amount of \$ 620.00 for the filing fee is enclosed.

"Express Mail mailing label number EU339506459US

Date of Deposit February 25, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 with the mailing label number and the date indicated above, and is addressed to the Commissioner for Patents, P.O. Box 1450, Mail Stop: Patent Application, Alexandria, Virginia 22313-1450.

David Prashker

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